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U.S. PATENT & TRADEMARK OFFICE

FORM PTO-1449	SERIAL NO. 10/684,140	CASE NO. 3086-1421 (BH2099.P1)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 10, 2003	GROUP ART UNIT 1615
(use several sheets if necessary)	APPLICANT(S): Schneider et al.	

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/J.V./	B1	5,616,332	4/1/1997	Herstein		
	B2	6,046,181	4/4/2000	Oonishi et al.		
	B3	6,437,002	8/20/2002	Ito et al.		
	B4	2003-0180235	09/25/2003	Grisoni et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
/J.V./	B5	11199424	7/29/1999	Japan		Abstract
	B6	WO 02/30194 A1	4/18/2002	WIPO		
	B7	WO 02/40033 A1	5/23/2002	WIPO		
	B8	WO 02/40034 A1	5/23/2002	WIPO		
	B9	WO 03/094882 A1	11/20/2003	WIPO		

EXAMINER INITIAL		OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	B10	"Application of Disodium Lauriminodipropionate Tocopheryl Phosphates (Vital ET™) as an Anti-Inflammatory and Moisturizer in Infant and Adult Care (Wipes, Diapers and Creams), Research Disclosure, *Kenneth Mason Publications*, vol. 473, no. 20, pp. 1-4, 2003.
	B11	International Search Report for PCT Patent Application PCT/US03/39275, filed December 10, 2003

EXAMINER	DATE CONSIDERED

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10/10/03

1 of 2

FORM PTO-1449	SERIAL NO. TBA	CASE NO. 3086-1421
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 10, 2003	GROUP ART UNIT TBA
(use several sheets if necessary)	APPLICANT(S): Louise M. Schneider et al.	

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/J.V./	A1	5,198,210	03/30/93	Critchley, et al	424/78.03	10/91
	A2	5,206,020	04/27/93	Critchley, et al.	424/401	1/92
	A3	5,306,713	04/26/94	Suetsugu et al.	514/100	8/91
	A4	5,387,579	02/07/95	Meybeck et al.	514/100	1/91
	A5	5,415,855	05/16/95	Critchley, et al.	424/61	6/93
	A6	5,589,178	12/31/96	Aubert et al.	424/401	12/94
	A7	5,603,949	02/18/97	Meybeck et al.	424/450	6/95
	A8	5,643,597	07/01/97	Meybeck et al.	424/450	6/95
	A9	5,656,618	08/12/97	Meybeck et al.	514/100	10/94
	A10	5,661,118	08/26/97	Cauwet et al.	510/126	4/95
	A11	5,688,752	11/18/97	Turner	510/159	10/95
	A12	5,952,001	09/14/99	Meybeck et al.	424/450	5/95
	A13	6,008,246	12/28/99	Ito et al.	514/458	7/96
	A14	6,022,867	02/08/00	Ito et al.	514/100	11/97
	A15	6,136,851	10/24/00	Bonte et al.	514/458	5/98
	A16	6,184,247	02/06/01	Schneider	514/474	5/99
	A17	6,248,779	06/19/01	Shimizu et al.	514/458	4/96

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EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A18	WO 93/15731	08/19/93	PCT		Yes
	A19	EP 0 236 120	08/19/92	EP		No
	A20	EP 0 513 104	01/03/96	EP		No
	A21	EP 0 780 116	06/25/97	EP		No
	A22	EP 0 679 399	08/06/97	EP		No
	A23	EP 0 652 010	01/07/98	EP		Yes
	A24	EP 0 597 025	09/23/98	EP		Abstract
	A25	EP0911016A1	04/28/99	EP		Abstract
	A26	JP3109308A2	05/09/91	Japan		Abstract
	A27	JP3275610A2	12/06/91	Japan		Abstract
	A28	JP5331020A2	12/14/93	Japan		Abstract
	A29	JP6024931A2	02/01/94	Japan		Abstract
	A30	JP9118613A2	05/06/97	Japan		Abstract
	A31	JP10007524A2	01/13/98	Japan		Abstract
	A32	JP10007541A2	01/13/98	Japan		Abstract
	A33	JP11189523A2	07/13/99	Japan		Abstract
	A34	JP11228378A2	08/24/99	Japan		Abstract
	A35	JP2000256173A2	09/19/00	Japan		Abstract

EXAMINER /Jyothsna Venkat/	DATE CONSIDERED 04/02/2007

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EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
/J.V./	A36	JP 2000290131	10/17/00	Japan		Abstract
	A37	JP 2000290132	10/17/00	Japan		Abstract
	A38	JP 2000290133	10/17/00	Japan		Abstract
	A39	JP 2000290134	10/17/00	Japan		Abstract

EXAMINER INITIAL		OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A40	ACO-1531(VITAL ET™) ISP Material Safety Data Sheet, Issued 4/30/03 , 4 pages.
	A41	After Shave Balm with VITAL ET™, Prototype Formulation ISP, Information Sheet, Dated on or before 8/26/03, 1 page
	A42	Anti-Acne Lotion with Vital ET™, Prototype Formulation ISP, Information Sheet dated on or before 8/26/03, 1 page
	A43	*Cosmetic Dermatology*, Dated on or before 8/26/03, 347 pages.
	A44	Healthy Skin Glow Color with VITAL ET™, Prototype Formulation ISP, Information Sheet dated on or before 8/26/03, 1 page.
	A45	Loden, Marie, "*Dry Skin and Moisturizers – Chemistry and Function*", Dermatology: Clinical & Basic Science Series, CRC Press (2000) pp. 1-168.
	A46	Nourishing Lotion with VITAL ET™, Prototype Formulation ISP, Information Sheet dated on or before 8/26/03 1 page.
	A47	Sagarin, Cosmetics, Science and Technology, 2nd Edition, Vol. 1, pp. 32-43 (1972).
	A48	Sebum Control Nourishing Lotion with VITAL ET™, Prototype Formulation ISP, Information Sheet dated on or before 8/26/03, page 1.
	A49	SIGMA-ALDRICH, Product Number T3251 Alpha Tocopherol, Chemical Structure Information Sheet dated on or before 8/26/03, 1 page.
	A50	SIGMA-ALDRICH, Product Number 95250 Alpha DL-Tocopherol Acetate, Chemical Structure Information Sheet dated on or before 8/26/03, 1 page.
	A51	SIGMA-ALDRICH, Product Number 89560 Delta Tocopherol, Chemical Structure Information Sheet dated on or before 8/26/03, 1 page.
	A52	Smith, Walter P., "*Hydroxy Acids and Skin Aging*", Soap/Cosmetics/Chemical Specialties, September 1993, pp. 54-76.
	A53	Soap/Cosmetics/Chemical Specialties for September 1993, pp. 54-58 and 76.
	A54	Tan Accelerator with VITAL ET™ – Prototype Formulation ISP, Information Sheet dated on or before 8/26/03, 1 page.
	A55	*Technical Information on Vitamin E Phosphate (VEP)*, Central Research Laboratory & Specialty Chemical Division, Showa Denko K.K., December 2000, (23 p).
	A56	VEP, Showa Denko K.K. Chemicals Sector, Ref. No. SC-1127, Material Safety Data Sheet, September 21, 2000, 7 pages.
	A57	Vital ET™ ISP Product Guide, Dated on or before 8/26/03, 4 pages.
	A58	Yu, Ruey, Jr. et al., "*Bioavailability of Alpha-Hydroxy Acids in Topical Formulations*", Cosmetic Dermatology, Vol. 9, No. 6, June 1996, (5p.)

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